



Summary of Current Risks

Risk Register for:	1	High
Wareham Foot Crossing	8	Medium
	1	Low
	10	

Last Review by:		Date:	21/02/2018
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		IMPACT				
		Financial	Strategic Priorities and Opportunities	Health & safety	Reputational	Service Delivery
HIGH	i.e. a greater than 20% chance of:	Financial impact > £1 million	Major impact (positive or negative on a strategic priority)	Fatality or major injury/illness (long term incapacity / disability)	Sustained/long term negative public attention	Unable to deliver critical services (levels one and two)
MEDIUM	i.e. a greater than 20% chance of:	Financial impact between £500,000 - £1 million	Moderate impact (positive or negative on a strategic priority)	Moderate injury or illness (including RIDDOR reportable)	Short to medium term impact on public memory (affecting more than one ward)	Unable to deliver critical services (level three)
LOW	i.e. :	Financial impact less than £500,000	Minor/ negligible impact (positive or negative) on a strategic priority	Potential for minor injury/illness (requiring minimal intervention or treatment)	Short to medium term impact on public memory (affecting one ward) / minor complaints or rumours	Minor disruption to service delivery

No	Risk Description	Risk Status <i>Open or Closed</i>	Risk Lead	Date Identified	Date Risk Reviewed	Current Controls <i>How do we currently manage this risk?</i>	Current Risk <i>High / Medium / Low</i>	Movement Since Last Review <i>Improving / Deteriorating / No Change</i>	Is the current level of risk acceptable? <i>i.e. Yes or No, based on the current controls</i>	Any Issues to Highlight Since Last Review?	Further actions identified to achieve an acceptable level of risk	Target Date for further actions
1	Health and Safety - Serious incident at existing level crossing involving pedestrian user and moving train. Possible fatality or major injury.	Open	Highways	01/01/2009		DCC have procured the services of a competent security company who employ attendants at the crossing from 0600 to 0100 hours 7 days a week. The attendants use timetables, app technology and a warning light system to control electric gates. The gates prevent access onto the crossing in advance of a train approaching	High	No Change	No	As DCC are partly responsible for management of the level crossing they are recognised as a duty holder under the 1974 Health and Safety at Work Act. Any serious incident at the crossing would be investigated by the ORR and include DCC involvement	Introduce a new form of step free access over the railway line in the form of ramps, connecting to the existing Network Rail Footbridge. The new step free access will eliminate the pedestrian/train interface that currently exists through use of the level crossing. Extinguish permissive rights for use of the level crossing	
2	Financial - Serious incident at existing level crossing involving pedestrian user and moving train. Possible investigation by the ORR and prosecution. Previous prosecution involving the management of level crossings has lead to duty holder fines of up to £4 million.	Open	Highways	01/01/2009		DCC have procured the services of a competent security company who employ attendants at the crossing from 0600 to 0100 hours 7 days a week. The attendants use timetables, app technology and a warning light system to control electric gates. The gates prevent access onto the crossing in advance of a train approaching	Medium	No Change	No	As DCC are partly responsible for management of the level crossing they are recognised as a duty holder under the 1974 Health and Safety at Work Act. Any serious incident at the crossing would be investigated by the ORR and include a DCC involvement. This could lead to prosecution and fines for DCC	Introduce a new form of step free access over the railway line in the form of ramps, connecting to the existing Network Rail Footbridge. The new step free access will eliminate the pedestrian/train interface that currently exists through use of the level crossing. Extinguish permissive rights for use of the level crossing . Existing level crossing closed	

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3	Reputational - Serious incident at existing level crossing involving pedestrian user and moving train. Potential media coverage of incident describing DCC involvement may lead to negative attitudes towards council	Open	Highways	01/01/2009		DCC employs attendants at the crossing who use timetables, app technology and a warning light system to control electric gates. The gates prevent access onto the crossing in advance of a train approaching	Medium	No Change			Introduce a new form of step free access over the railway line in the form of ramps, connecting to the existing Network Rail Footbridge. The new step free access will eliminate the pedestrian/train interface that currently exists through use of the level crossing. Extinguish permissive rights for use of the level crossing . Existing level crossing closed.	
4	Strategic Priorities and Opportunities - Serious incident at existing level crossing involving pedestrian user and moving train. Incident would not align with corporate strategy of ensuring Dorset is a safe place to live.	Open	Highways	01/01/2009		DCC have procured the services of a competent security company who employ attendants at the crossing from 0600 to 0100 hours 7 days a week. The attendants use timetables, app technology and a warning light system to control electric gates. The gates prevent access onto the crossing in advance of a train approaching	Medium	No Change	No		Introduce a new form of step free access over the railway line in the form of ramps, connecting to the existing Network Rail Footbridge. The new step free access will eliminate the pedestrian/train interface that currently exists through use of the level crossing. Extinguish permissive rights for use of the level crossing . Existing level crossing closed.	
5	Strategic Priorities and Opportunities - Immediate closure of the existing level crossing following legal order from the Office for Road and Rail (ORR). Does not align with corporate aim of healthy and safe lifestyle. Residents from north of the railway line, who require step free access, will no longer be able to access Wareham Town Centre by foot.	Open	Highways	01/01/2009		The current arrangement for management of level crossing is recognised as a temporary measure by the ORR. IF the ORR deem it necessary due to health and safety risk, they have the power to order the closure at any point in time. This would be outside of the control of DCC	Low	No Change	Yes		In accordance with the ORR recommendation (2009), provide an alternative form of step free access	
6	Reputational - Immediate closure of the existing level crossing following legal order from the Office for Road and Rail (ORR). No pedestrian step free access would exist between Northport and South Wareham . Potential negative media reaction.	Open	Highways	01/01/2009		The current arrangement for management of level crossing is recognised as a temporary measure by the ORR. IF the ORR deem it necessary due to health and safety risk, they have the power to order the closure at any point in time. This would be outside of the control of DCC	Medium	No Change	No		In accordance with the ORR recommendation (2009), provide an alternative form of step free access	

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7	Reputational - Closure of the existing level crossing following expiry of permissive rights lease agreement between DCC and Network Rail. No pedestrian step free access would exist between Northport and South Wareham . Potential negative media reaction.	Open	Highways	01/01/2009		The existing level crossing lease agreement expires in 2038 and will not be renewed. The level crossing cannot remain open after this date	Medium	No Change	No		Provide an alternative form of step free access prior to the expiry of lease agreement between Network Rail and Dorset County Council	
8	Strategic Priorities and Opportunities - Closure of the existing level crossing following expiry of permissive rights lease agreement between DCC and Network Rail. No pedestrian step free access would exist between Northport and South Wareham . Potential negative media reaction.	Open	Highways	01/01/2009		The existing level crossing lease agreement has expired . DCC have stated its intention to extend this to 2038 . Beyond this date NR will not enter into a ne agreement and the level crossing will be permanently closed	Medium	No Change	No		Provide an alternative form of step free access prior to the expiry of lease agreement between Network Rail and Dorset County Council	
9	Financial - Loss of funding support from Network Rail due to Network Rail funding window expiry. Network Rail will provide funding for an alternative form of step free access provided the scheme commences prior to March 2019	Open	Highways	01/01/2009		At present outside of control due to the refusal of listed building consent	Medium	Deteriorating	No		Apply for planning permission for scheme as soon as possible. Re-apply or appeal listed building consent application so scheme can commence prior to March 2019	
10	Financial - Level crossing remains open up to expiry of existing permissive rights agreement. Current cost of employment of attendants is £120 thousand revenue per year with potetial increase following re-tender.	Open	Highways	01/01/2009		None	Medium	Deteriorating	No		Provide an alternative form of step free access- attendants no longer required.	